# A general introduction to machine-learning and deep learning methods

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## Introducing myself...



#### 2016-2018: Research lecturer

Department of Computer Science, Visual Computing research group

• IA for (physical) sciences



**2013-2016** : Postdoc

Machine learning

Internet-of-things



Computer vision

Medical image analysis



SCISYS **2008-2009** : Developer

- Robotics
- Computer vision
- Mars exploration rover







2008: Engineering degree + astrophysics MSc



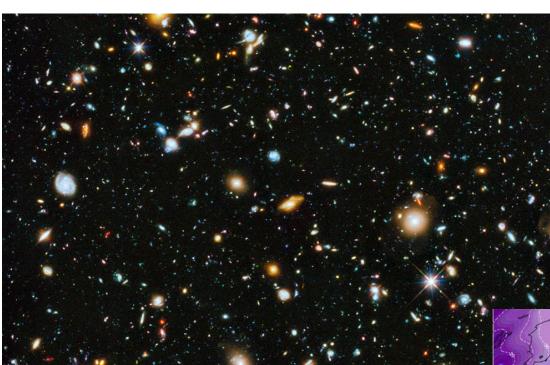
#### 2018 - : Maître de conférences

- Laboratoire d'Informatique et des Systèmes (LIS), pôle Science des Données
- Al for (physical) sciences

## Outline:

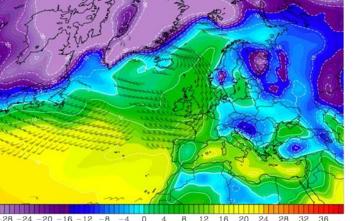
- Introduction to machine learning
  - Unsupervised learning
  - Supervised learning
  - Example application to classifying images
  - Training in practice
- Neural networks and deep learning
  - Introduction to neural networks and deep learning
  - Application to images

# A deluge of data











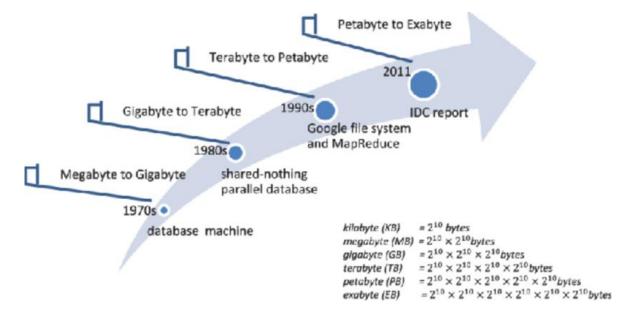






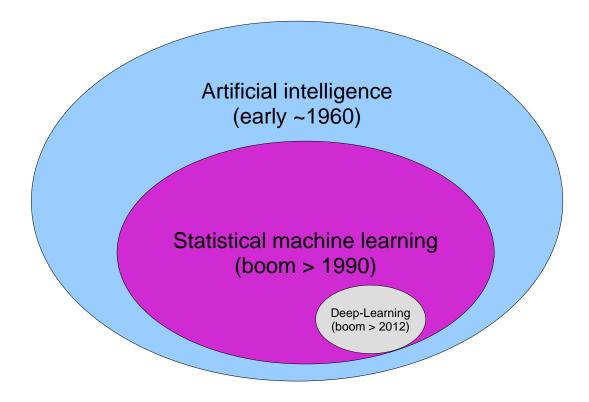
## A deluge of data

Fact: The amount of data we produce increases exponentially



#### **Data-oriented statistical model**: perceived as a solution when:

- Weak or non-existing prior knowledge to build a mathematical/physics model that is robust to all possible noise and transformations
- Plenty of data available
- Complex relationship between raw input data and the information to be extracted



Statistical machine learning algorithms have increased in popularity since the 90s.

Objective: Using a large amount of data to mine/synthetize/index the information contained in the data automatically

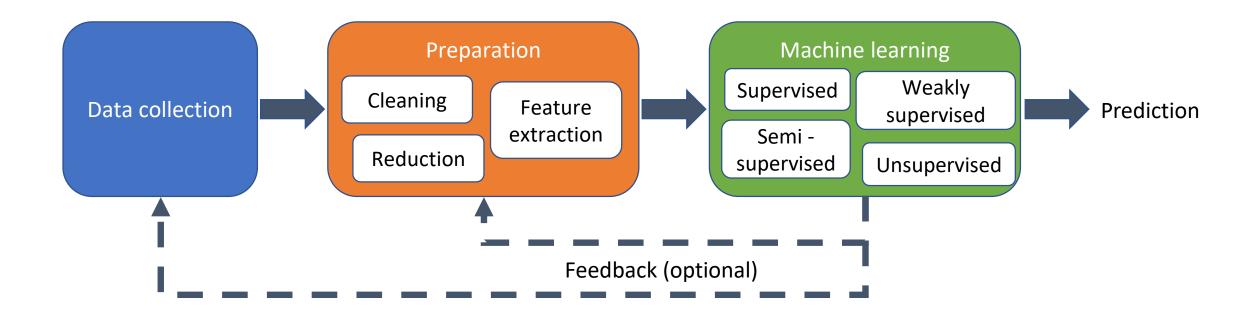


## Data challenges

- Nature:
  - · images
  - sound
  - text
  - graph
  - sequences
  - •••

- Source:
  - telescopes
  - spectrographs
  - LASER
  - · SONAR
  - accelerometers/gyroscopes
  - Highly integrated complex information systems (smart-cities, flux web, XML, etc...)
  - ...
- Large dimensionality → Curse of dimensionality
- Multi-modal: multiple sensors with various properties, not necessarily pre-aligned nor synchronised
- Defects:
  - Measurement noise, outliers
  - Missing values
  - ...

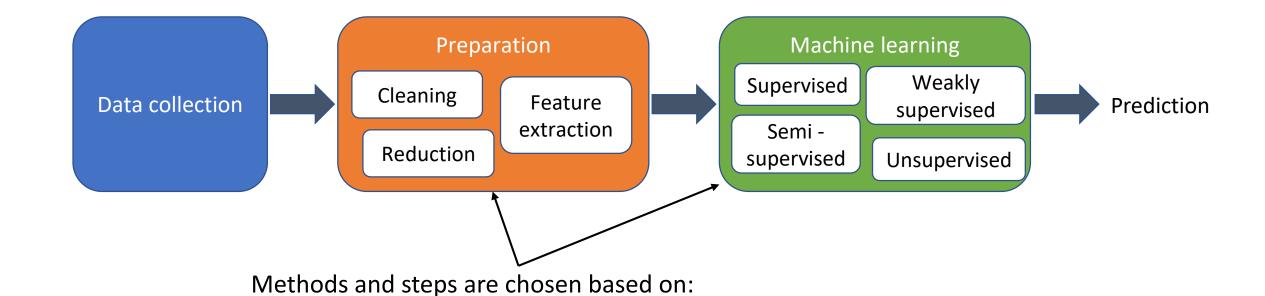
## Generic data processing pipeline



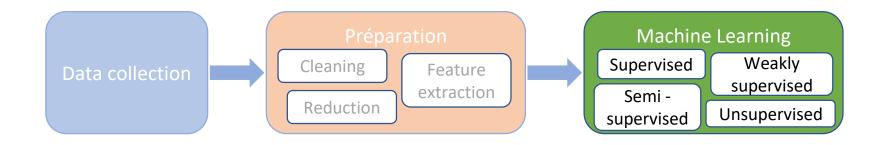
## Generic data processing pipeline

The data

The problem



## Four main types of problems



#### ☐ Association pattern mining

Finding patterns in the data

#### **☐** Clustering

Identifying groups with similar properties

#### ☐ Classification

Associating objects with predefined classes

#### **□** Outlier detection

Finding objects/patterns which do not match the rest of the dataset

Note: there are usually multiple ways to tackle a same problem

## Four main types of problems

#### **☐** Clustering

Identifying groups with similar properties

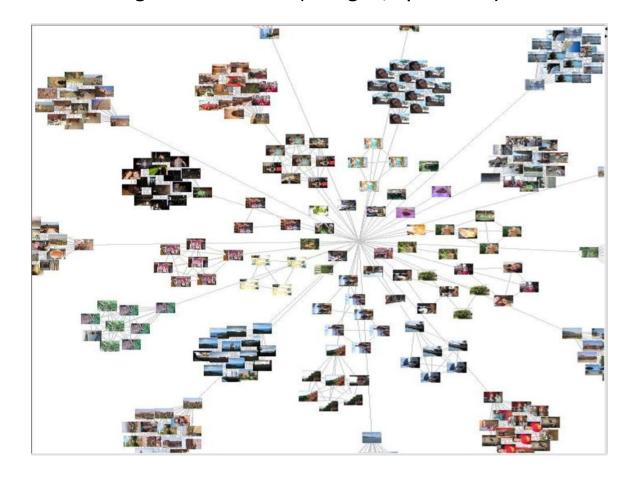
#### Clustering (pixel) values







#### Clustering observations (images, spectra...)



## Four main types of problems

#### **☐** Classification

Associating objects with predefined classes

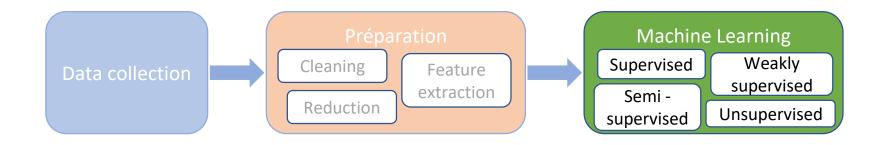
#### "Which kind of bird is it?"



#### Which pixels belong to the dog? $\rightarrow$ Segmentation



## Main machine learning tasks



- ☐ Unsupervised learning
  - Only input data is available. No labels.
- ☐ Supervised learning
  - Each piece of data comes with an annotation  $\rightarrow$  typically costly
- ☐ Semi-supervised learning
  - Only part of the dataset is annotated
- **☐** Weakly Supervised learning

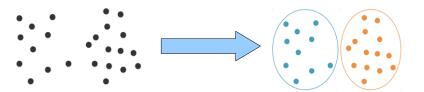
For each piece of data, a (simple) annotation is available, but it only contains part of the relevant information

## Unsupervised learning

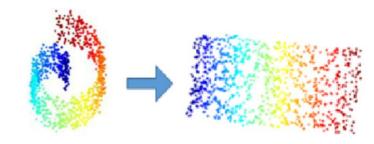
More about this in the next lecture

Main tasks consist in:

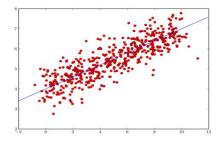
Finding groups (clustering)



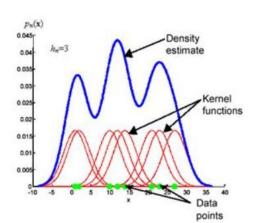
• Finding a lower-dimensionality representation of the data



• Finding interesting trends in the data



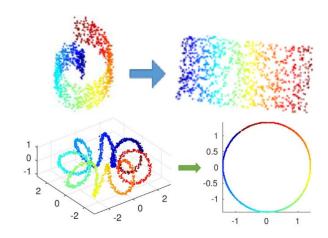
Approximating density functions

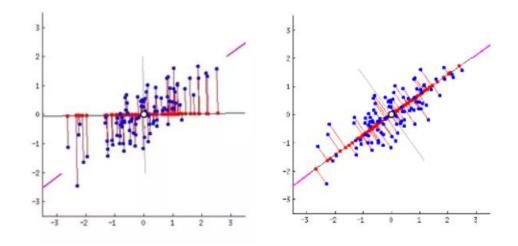


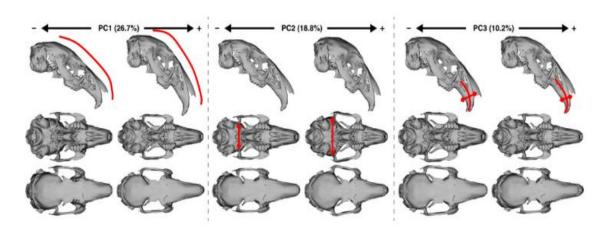
## Dimensionality reduction / Manifold learning

#### Aims:

- Project the data in a different space where it is better structured
- Reduce dimensionality
- Identify main modes of variation

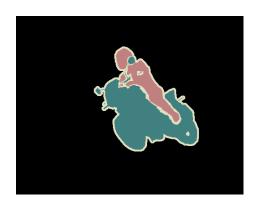






# Supervised learning







## Supervised learning

- Feature selection
- Feature extraction
- Dimensionality reduction

may be first steps of data preparation for a further analysis with supervised learning.

Supervised learning is based on:

Data

#### <u>and</u>

Annotations / labels → costly



Assumption: The data is **representative** of the process to model

## Large image databases

In the last 20 years, the number and size of annotated image databases have increased dramatically

- 100s → several hundred millions image (e.g. ImageNet)
- 10s → several thousands classes
- Annotations at several levels (classes, bounding boxes, contours, etc...)

Some popular image databases: ImageNet, COCO (Common Objects in Context), CIFAR, Pascal VOC, etc...







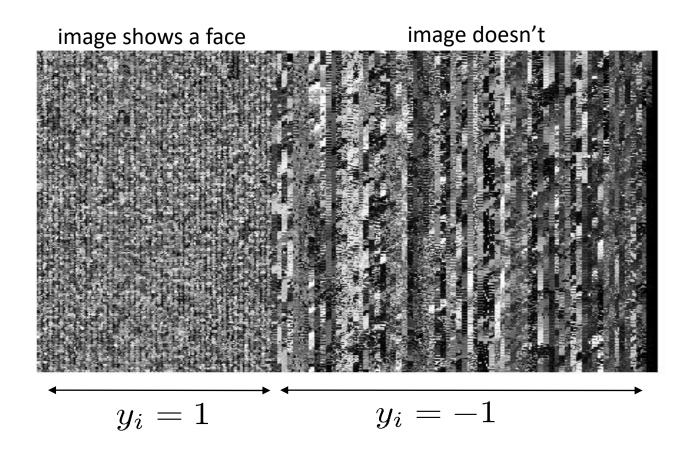


(Semi-, weakly-, fully-) supervised learning has developed dramatically in computer vision

## Example of classification for images

Example task: telling if the image shows a face

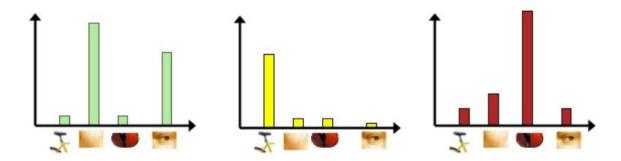
Annotation: one scalar label per image



## Classification for images

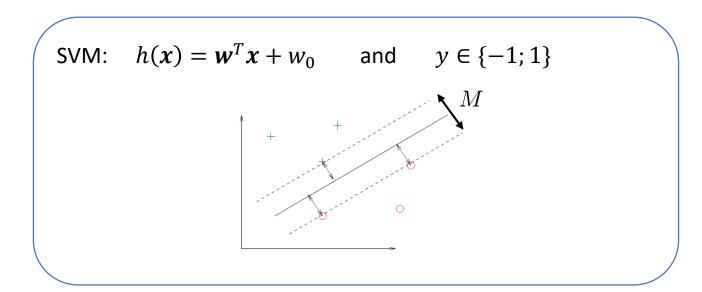
In general, learning is not performed directly on the images, but from **features / descriptors**  $X = \{x_1, \dots, x_N\}$ :

- Reduction of the dimensionality
- First stage of information extraction



## The classification task

- Find the function  $h(x): \mathcal{X} \mapsto \mathcal{Y}$  which associates a label y to the feature x. h often has a set of parameters  $\theta$ :  $h(x, \theta)$
- ullet For a new feature  $oldsymbol{x} \in oldsymbol{\mathcal{X}}$  , use h predict the label  $ilde{oldsymbol{y}}$



This task must be achieved from a subset of all possible data samples

## Risk and performance

Total risk is defined as: 
$$\mathcal{R}_{\boldsymbol{\mathcal{Z}}}(\boldsymbol{\theta}) = \int\limits_{\boldsymbol{x},y \in \boldsymbol{\mathcal{X}} \times \mathcal{Y}} \mathcal{L}(y,h(\boldsymbol{x};\boldsymbol{\theta}))p(\boldsymbol{x},y)d\boldsymbol{x}dy \qquad \qquad z = (\boldsymbol{x},y)$$

with  $\mathcal{L}(y, h(x; \theta))$  a **loss function** that measures the **cost** of difference between the model's prediction and the true label y

In practice, p(x,y) is not know, and we can only compute the **empirical risk**:

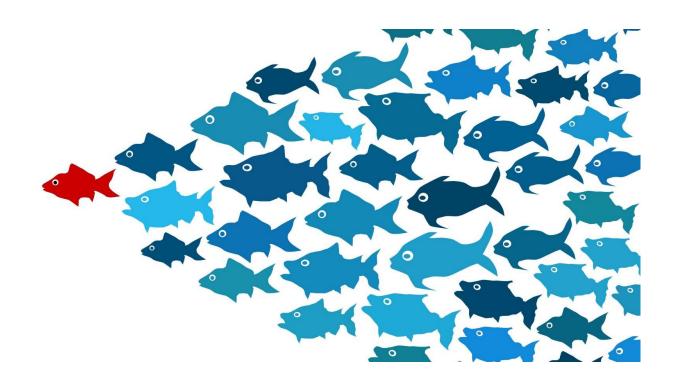
$$\mathcal{R}_{\boldsymbol{z}}^{N}(\boldsymbol{\theta}) = \sum_{i=1}^{N} \mathcal{L}(y_i, h(\boldsymbol{x}_i; \boldsymbol{\theta})), \ \boldsymbol{x}_i \in \boldsymbol{X}, y_i \in Y.$$

Training of the classifier:

Optimisation (e.g. gradient descent) on minimizing the empirical risk

Problem: we only have finite number of data samples in our dataset

→ How do we know that the empirical risk is **representative** of the real risk?



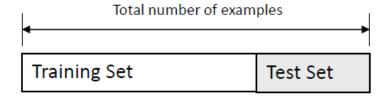
Problem: we only have finite number of data samples in our dataset

→ How do we know that the empirical risk is **representative** of the real risk?

It can be shown that for a sufficiently large dataset:

- the empirical risk tends towards the real risk
- the model's parameters  $\theta$  tend towards the optimal model parameters
- → How large is large enough?

If we divide our dataset into two subsets

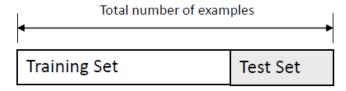


#### It can be shown that:

- the empirical risk *computed on the test set* tends towards the real risk
- the model's parameters  $\theta$ , learnt on the training set, tend towards the optimal model parameters
- → We can test **generalisation**
- → How large is large enough?

In practice, the empirical risk on the test set is an **estimator** of the real risk

If we divide our dataset into two subsets

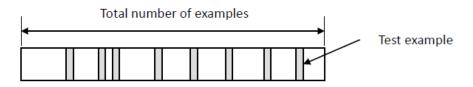


#### Caveats:

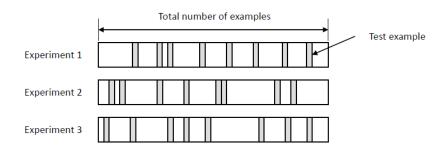
If the test set is too small or badly chosen, the empirical risk deviates from the real risk

Some (very recommended) solutions:

• Random sampling:

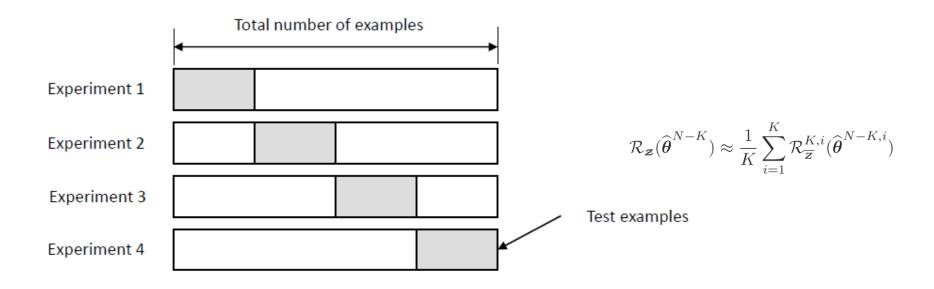


• Averaging over several trainings:



$$\mathcal{R}_{\boldsymbol{z}}(\widehat{\boldsymbol{\theta}}^{N_1}) \approx \frac{1}{K} \sum_{i=1}^{K} \mathcal{R}_{\overline{\boldsymbol{z}}}^{N_2, i}(\widehat{\boldsymbol{\theta}}^{N_1, i})$$

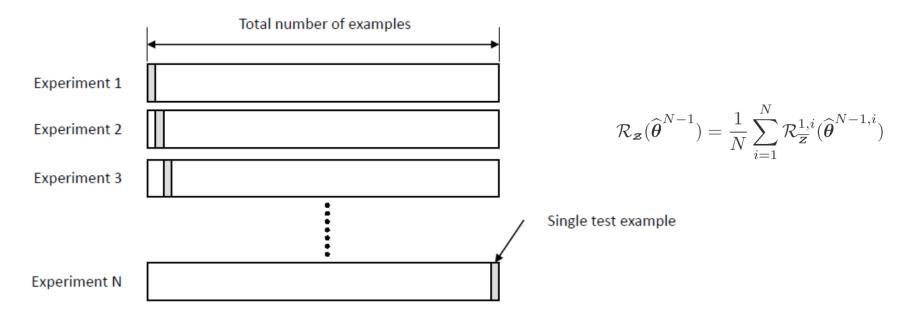
Better, more systematic approach: K-fold cross validation



#### Benefits on small datasets:

- All data samples are used (once) for testing
- The training set can be larger
- More folds → smaller bias of the risk estimator (but larger standard deviation)

For very small datasets: Leave-one-out cross validation



Benefits on small datasets:

- Same as K-fold cross validation +
- Maximises the training set size

Cons:

Lots of computations for training

## Training and model selection

In addition to optimising the  $\theta$  parameters of the model, several algorithms need to optimise hyper-parameters: choice of model

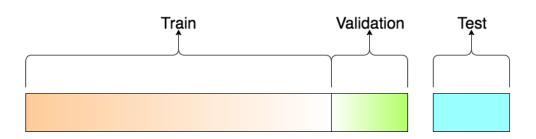
#### Examples:

- Neural networks: numbers of layers and neurons
- SVM: regularisation constant
- Kernel-SVM: regularisation constant, parameters of the kernel

Never optimise these hyper-parameters on the same training set as the model's parameters  $\theta$ 

## Training and model selection

Three subsets are needed:



#### Proceed in 7 steps:

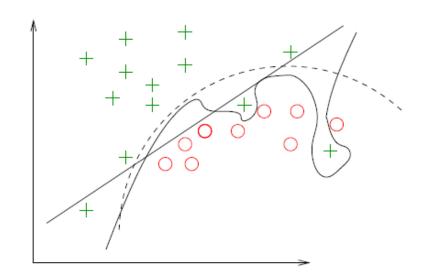
- 1. Divide the dataset into training, testing, and validation sets
- 2. Pick a model (e.g. architecture of neural network)
- 3. Train the model on the training set
- 4. Evaluate the model on the validation set
- 5. Repeat steps 2 to 4 with several models / architectures
- 6. Select the best performing model
- 7. Do a classic K-fold cross validation with the training and testing sets

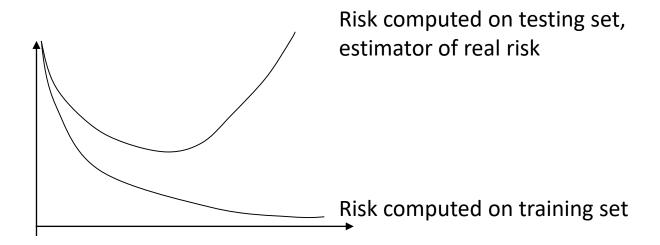
Optimisation of the hyper-parameters

Optimisation of the parameters

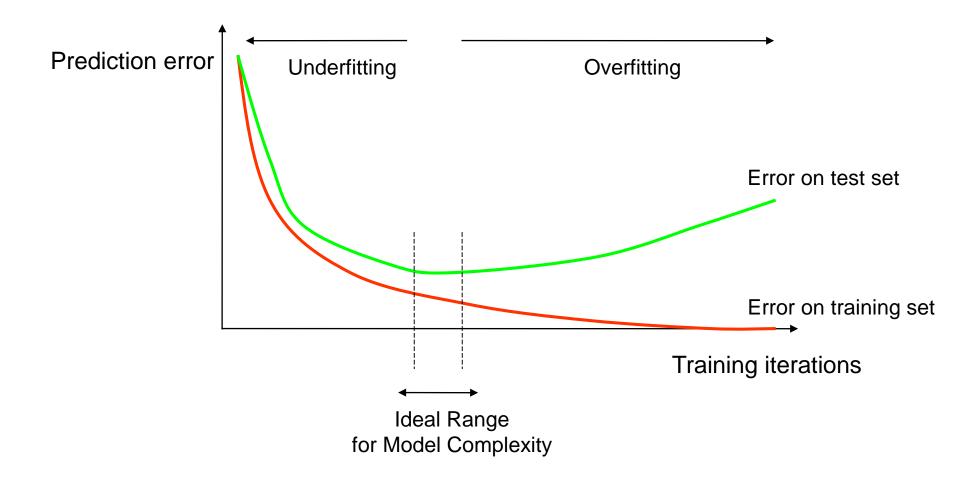
## Empirical risk minimisation

The more complex the model, the higher the risk of **over-fitting** 





## Empirical risk minimisation

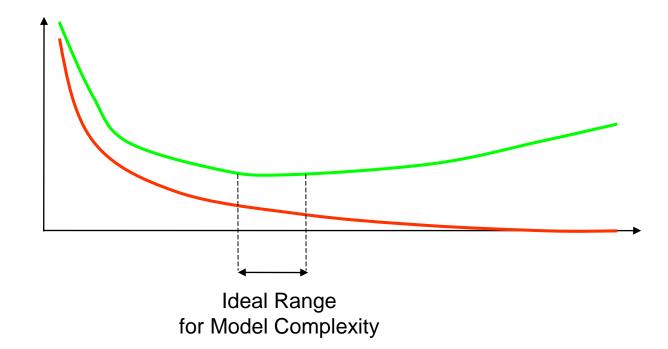


When the classifier's memory increases, it relies more on memory and it becomes harder to classify unknown samples. It's ability to generalise decreases.

## Empirical risk minimisation

#### 2 common solutions:

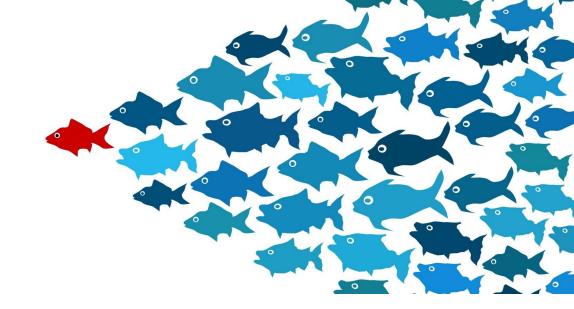
- Stop training when the error computed on an additional validation set starts increasing
- "Early stopping": stop training while the testing error is still decreasing a bit



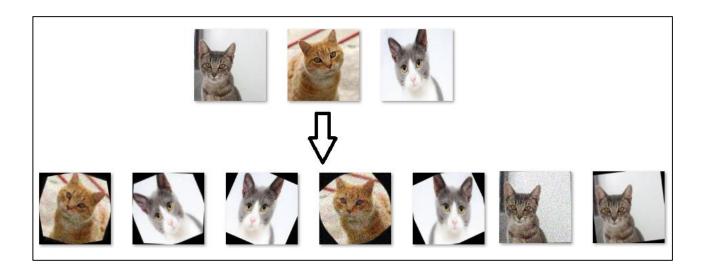
### Unbalanced datasets

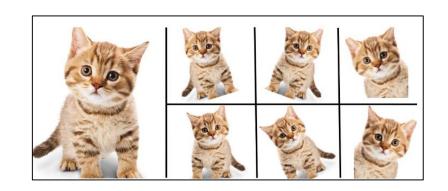
A very common problem with real world datasets!

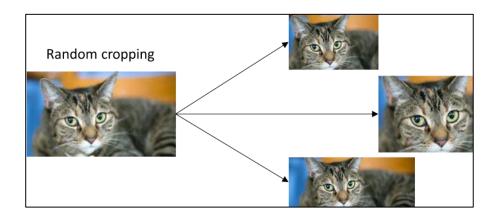
- A very important problem to consider:
  - Overfitting to the common class
  - Ignoring the rare class
- Possible solutions:
  - Getting more data
  - Re-sampling (stratified sampling, over-sampling)
  - Generate synthetic samples?
  - Try different algorithms
  - Try a different perspective (outlier detection? Optimising a different cost?)

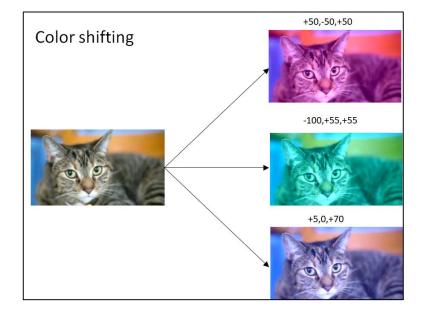


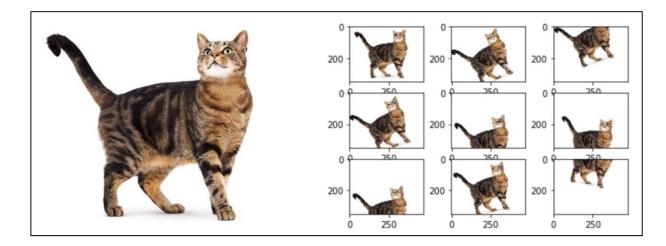
## Data augmentation











# Data augmentation





# Measuring the performance of a binary classifier

#### Standard measures:

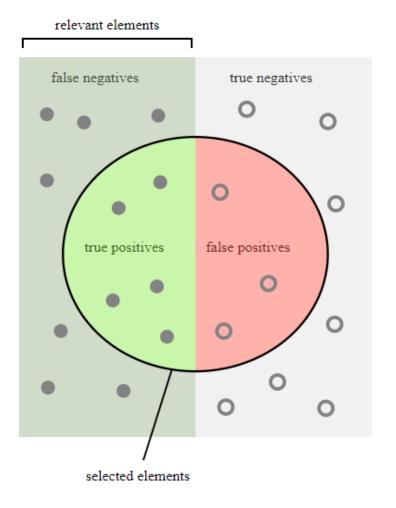
• Precision = 
$$\frac{TP}{TP+FP}$$
• Recall =  $\frac{TP}{TP+FN}$ 

How many selected items are relevant?

How many relevant items are selected?

Recall =  $\frac{TP}{TP+FN}$ 

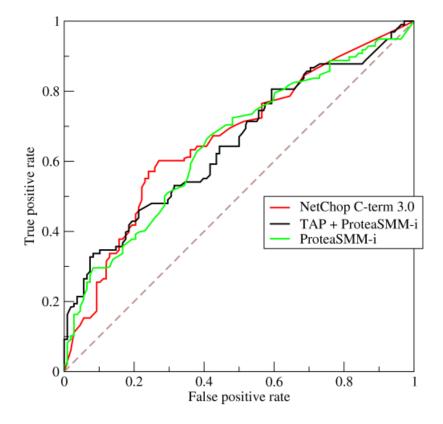
- F1-score: combines the previous two
- Accuracy: biased on unbalanced data, avoid it
- Etc.



#### ROC curve

Standard way to presenting performance measures for a binary classifier

- True positive rate (sensitivity) as a function of false positive rate (1 specificity)
- Area under curve (AUC) measures the difference to a random classifier (AUC = 0.5)



## Where can we find learning algorithms?

#### Python

Nowadays the standard language for data mining and machine learning

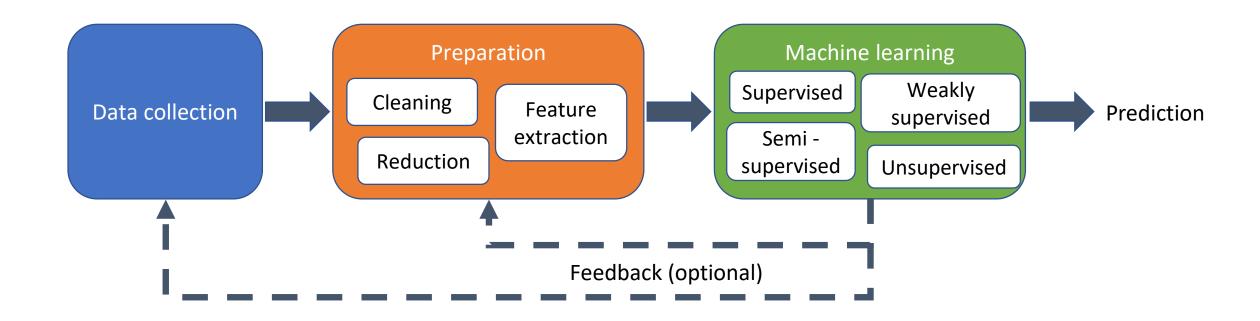
#### Libraries:

- SciKit-Learn: many supervised and unsupervised algorithms
- PyTorch: Most popular frameworks for deep learning
  - including high-level wrapper such as PyTorch Lightning

# Neural networks and deep learning

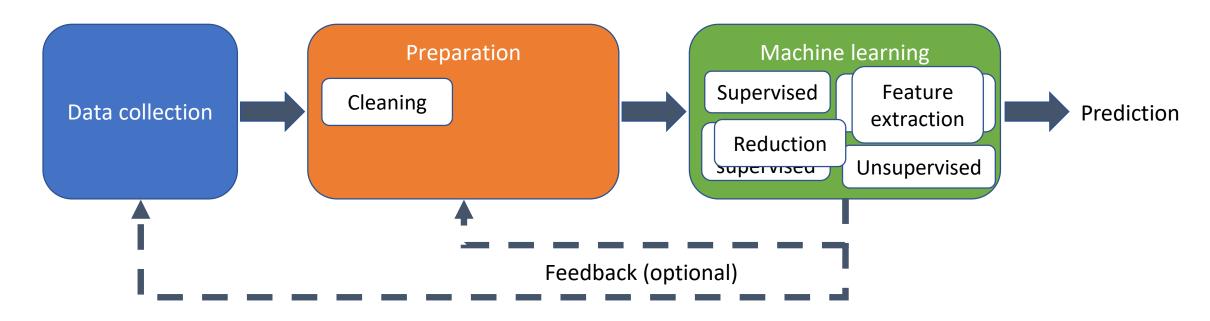


## Generic data processing pipeline

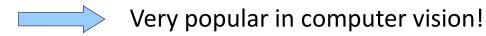


#### Generic data processing pipeline

Deep learning version:

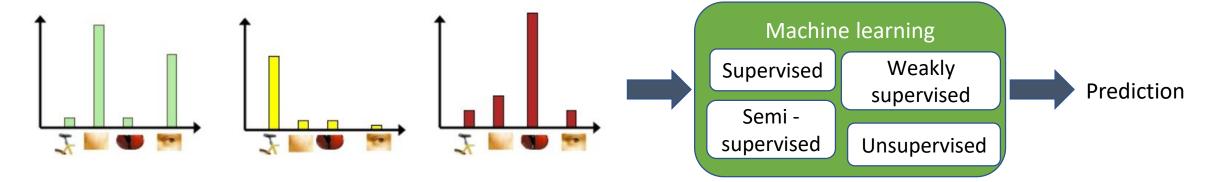


Integrates the feature extraction and reduction steps into the learning

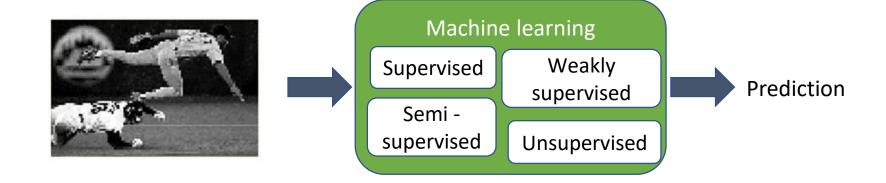


#### "In-the-box" feature extraction

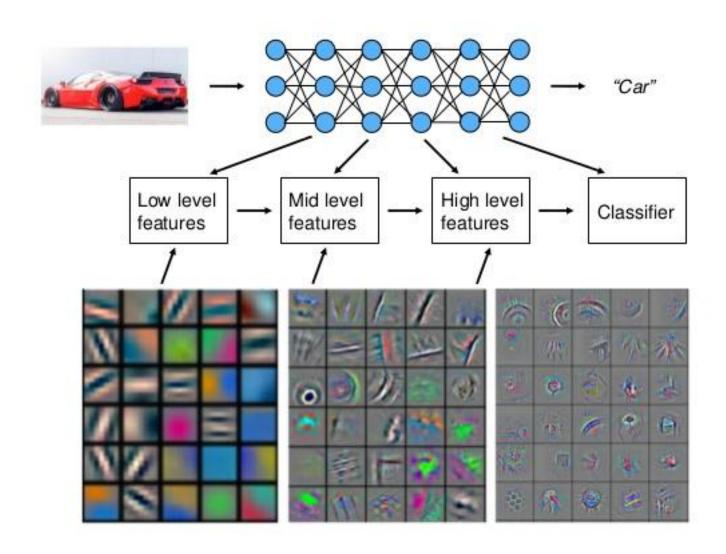
• Classical computer vision:



• Computer vision with deep learning:



#### "In-the-box" feature extraction

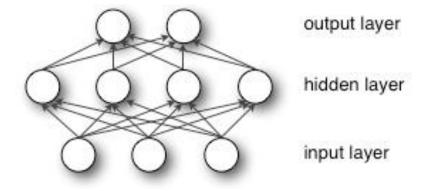


#### How do neural networks work?

#### Supervised learning scenario:

Find the function  $h(x): \mathcal{X} \mapsto \mathcal{Y}$  which associates a label y to the data sample x.

- *h* is implemented by a set of neurones, organised into layers
- $\theta$  are the parameters of the neurones



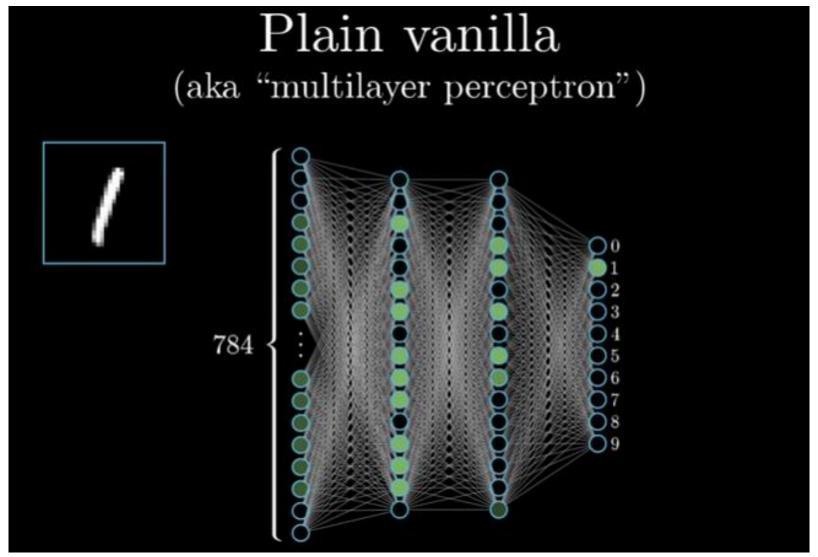
• h and its parameters  $\theta$  are optimised by gradient descent to minimize an empirical risk



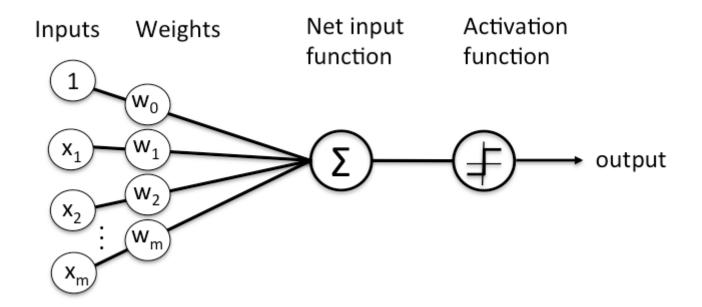
Neural network are "normal" machine learning algorithms

#### How do neural networks work?



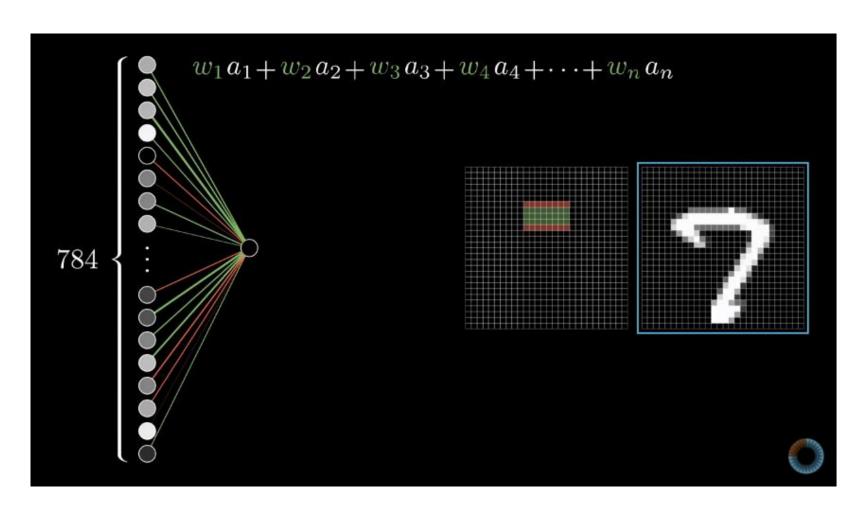


#### What is a neurone?

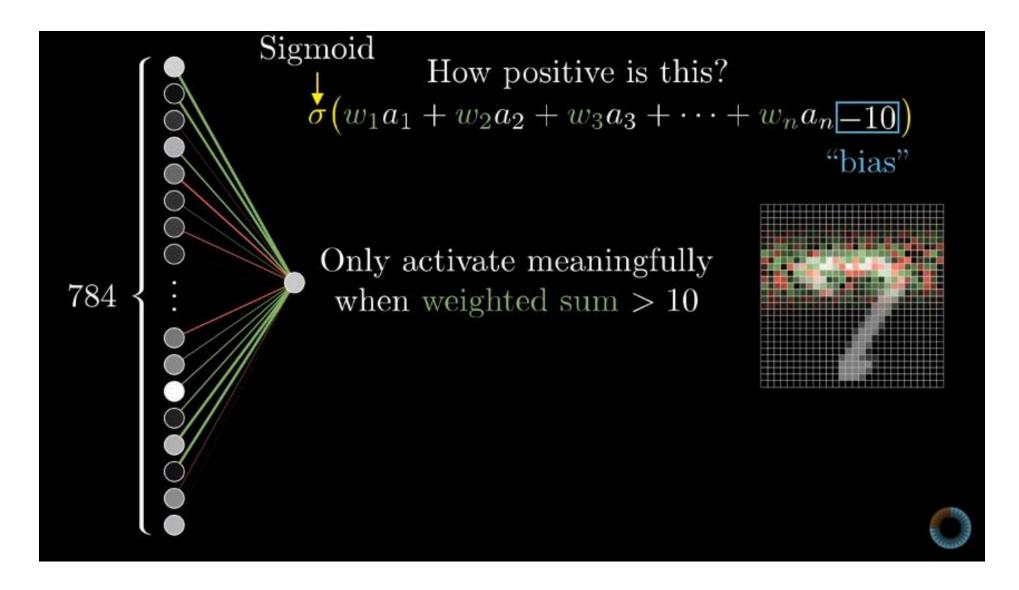


## A neuron as an edge detector

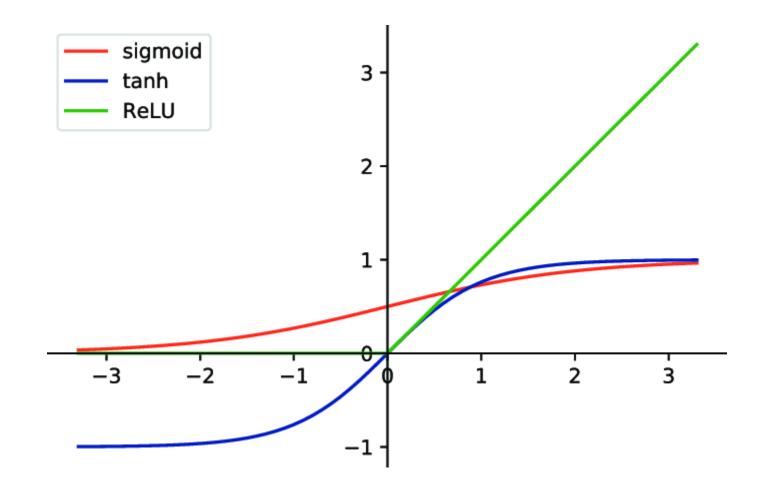
This is only an example of what could trigger a neuron!



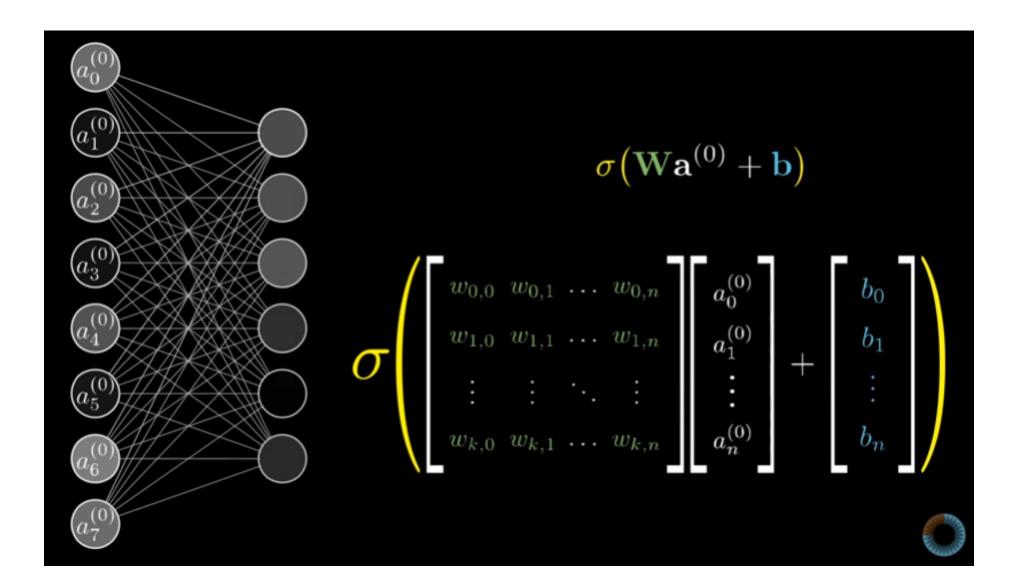
# Triggering of a neuron



#### Some activation functions



#### What is a neurone?

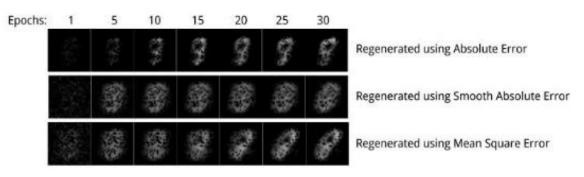


#### How to train a neural network

- Minimisation of the empirical risk  $\mathcal{R}^N_{m{z}}(m{ heta}) = \sum_{i=1}^N \mathcal{L}(y_i, h(m{x}_i; m{ heta})), \; m{x}_i \in m{X}, y_i \in Y.$
- Choice of loss function:
  - For classification:
    - (Binary) Cross Entropy loss ("softmax")
    - Negative Log Likelihood loss
    - Margin loss
    - Soft Margin loss
    - Kullback Leibler (KL) Divergence
    - Etc.
  - For regression:
    - Euclidean error
    - Mean Absolute error
    - Mean Squared Error (Quadratic loss)
    - Mean Squared Logarithmic Error
    - Etc.

#### • For autoencoders:

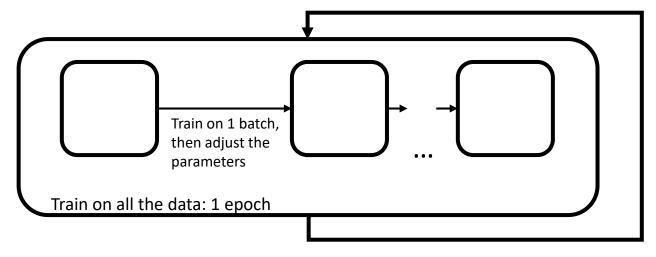
- Absolute loss
- Mean Square loss
- Smooth Absolute loss
- Etc.



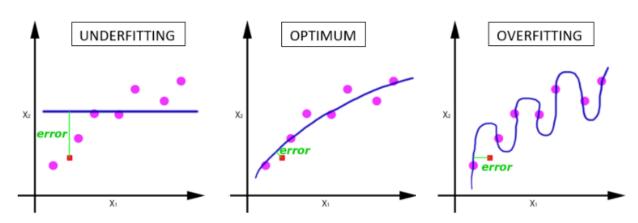


#### How to train a neural network

Procedure for training by gradient descent:

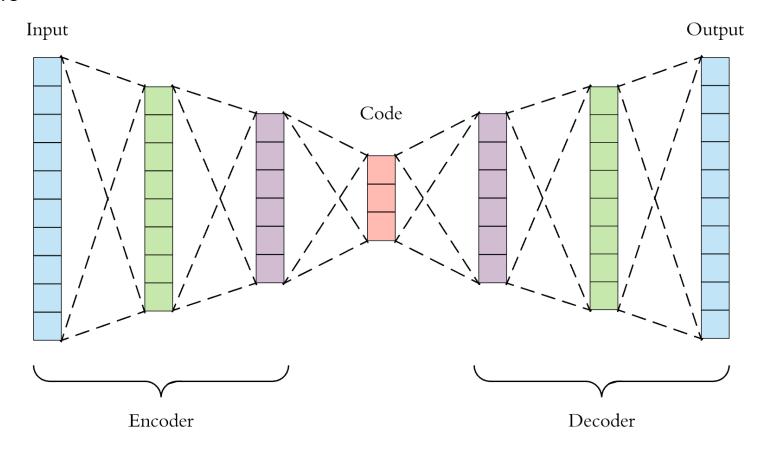


• Gradual and iterative updates



• Smoothed by the use of batches

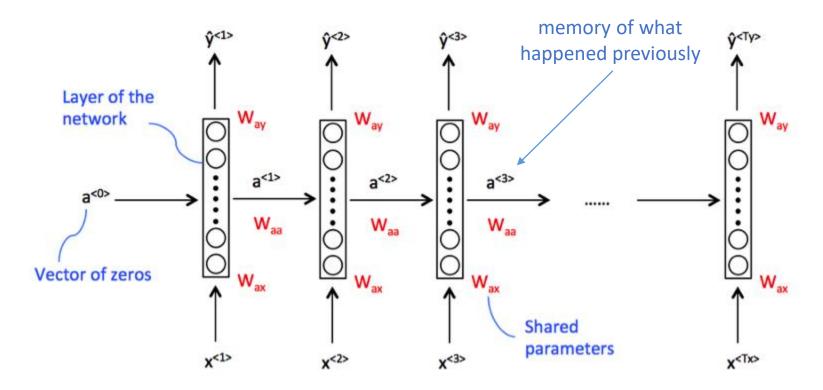
#### Autoencoders



Possible uses: data reduction, denoising...

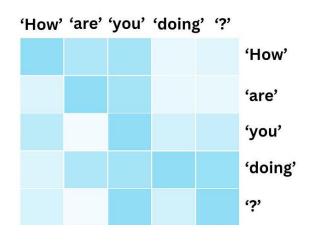
Recurrent neural networks

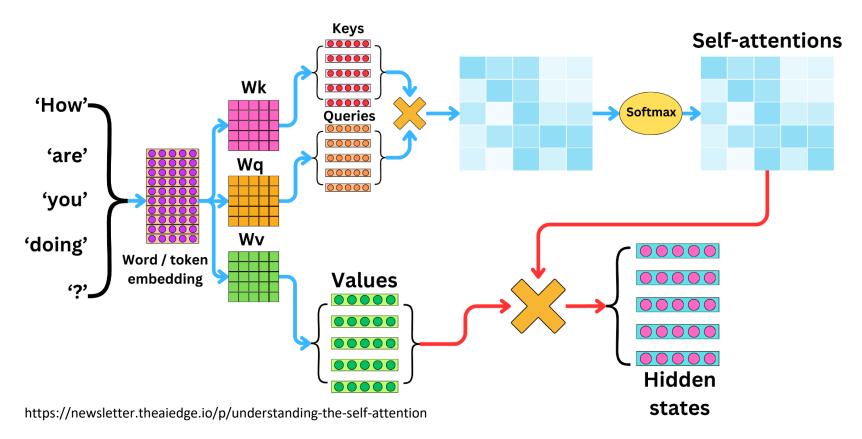
Used for sequences of data (e.g. temporal series)



#### Transformers

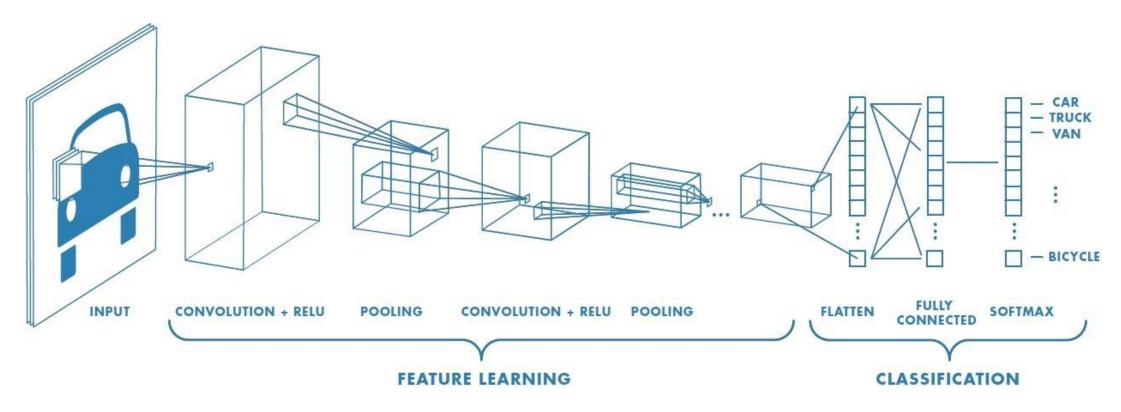
Used for sequences of data, may be adapted to visual data Self-attention modules capture relationships within the data



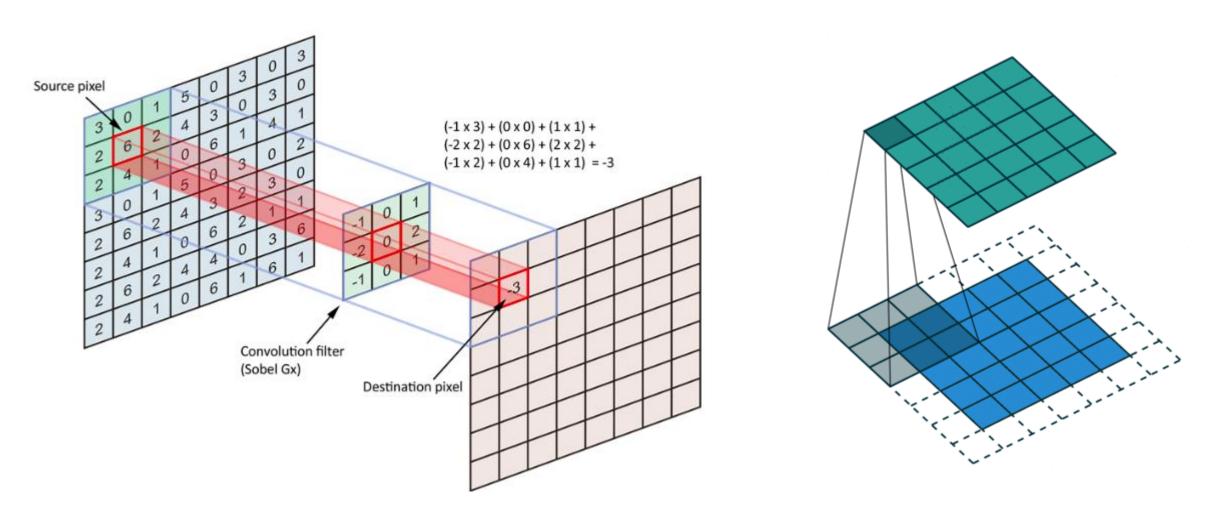


Convolutional neural networks (CNNs)

Used for images



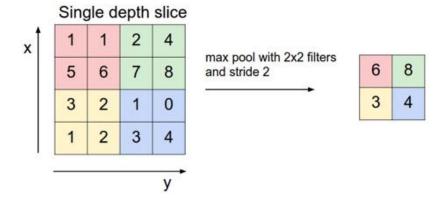
## Convolution operation



Same filter (neuron) applied to **all spatial locations** → translational invariance, and fewer parameters to learn

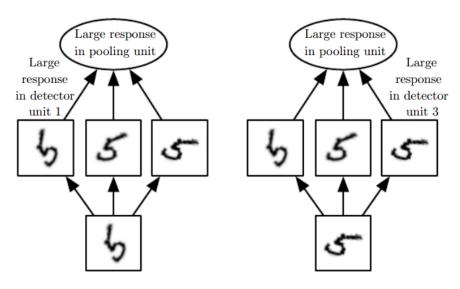
# Pooling operation

Max pooling



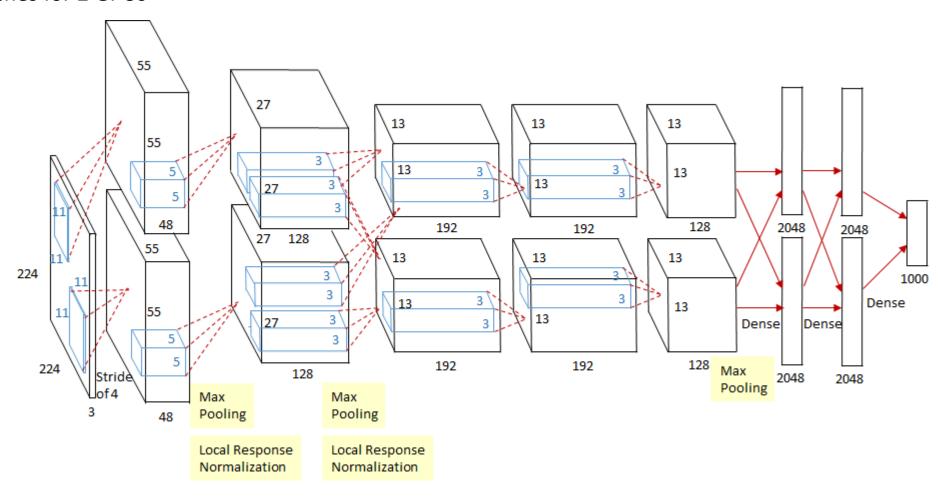
Average pooling

- $\square$  Reduce the number of parameters  $\rightarrow$  makes the training faster and help reduce overfitting
- ☐ Introduce invariance to translation
- ☐ May introduce other invariances, e.g. to rotation



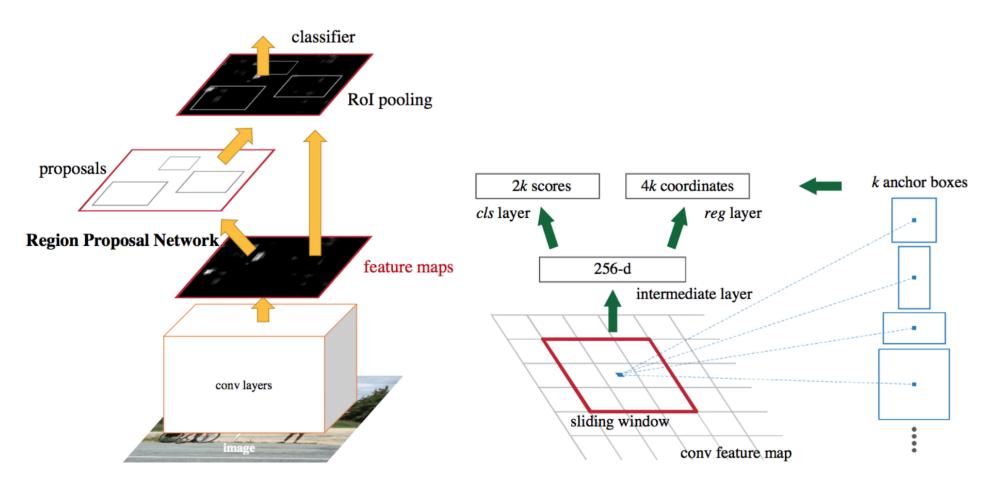
• AlexNet: historical **classification** on ImageNet

2 branches for 2 GPUs



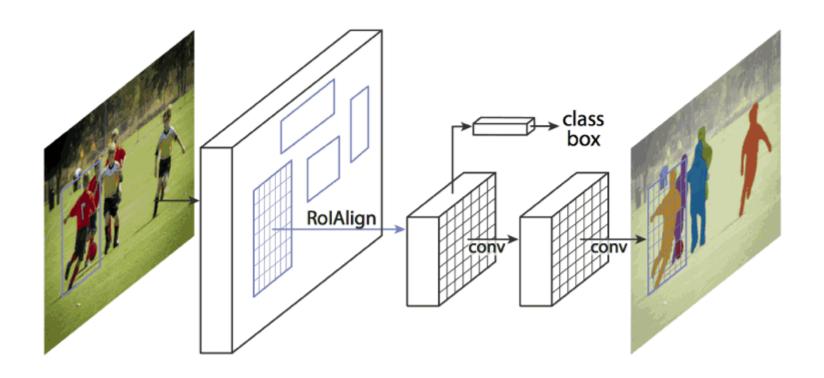
• Faster-RCNN: **detection** task

The feature map is used for 2 tasks

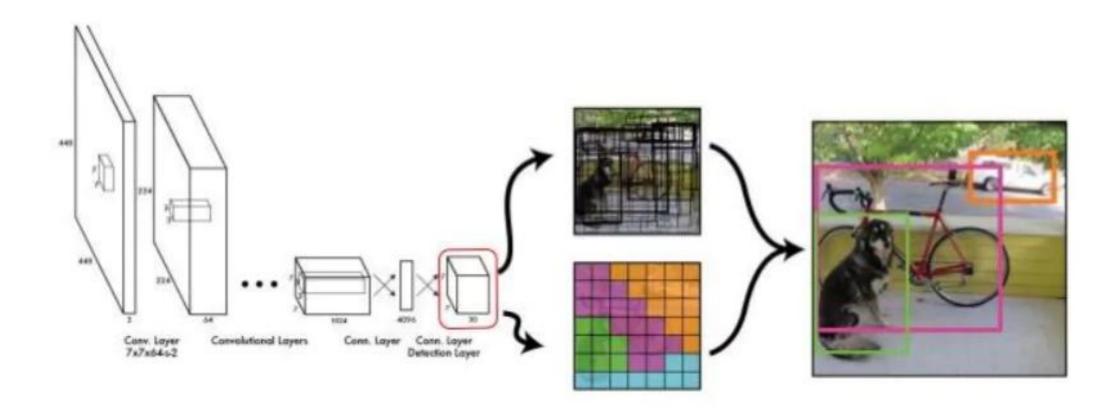


• Mask-RCNN: detection and segmentation

The feature map is used for 3 tasks

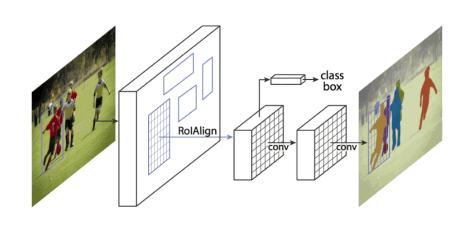


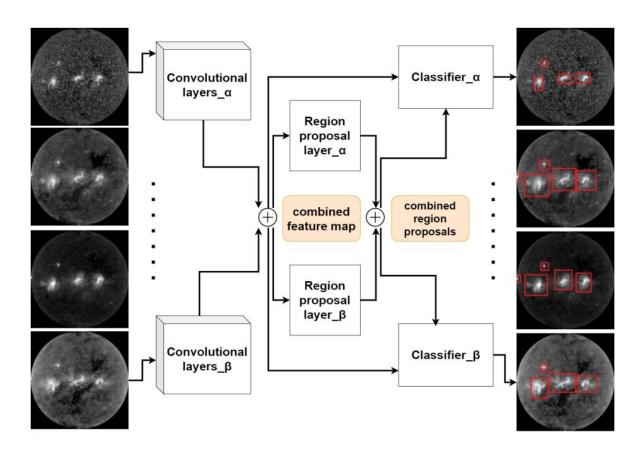
• YOLO: fast and lightweight **detection** 



#### Multi-task neural networks

Shared feature map → features need to have more meaning





# How should we interpret the predictions?

Classification layers: Predict the most likely **class** 



#### The output is not necessarily a probability!

Cross-entropy loss:

Computed on softmax outputs which are between 0 and 1 and are often interpreted as a pseudo-probability distribution

Negative Log Likelihood loss:

Measures the accuracy if the model tries to output a probability for each class

Cosine proximity:

Compares two vectors, e.g. 
$$\begin{bmatrix} \sim 0 \\ \sim 1 \\ \sim 0 \end{bmatrix}$$
 and  $\begin{bmatrix} \sim 1 \\ \sim 0 \\ \sim 0 \end{bmatrix}$ 

Hinge loss (max margin):

Compares the signs of the output and true label

#### Remember:

- We don't have all the possible data in the world
- Data may be noisy, uncertain
- → Strong generalisation testing
- $\rightarrow$  and...?

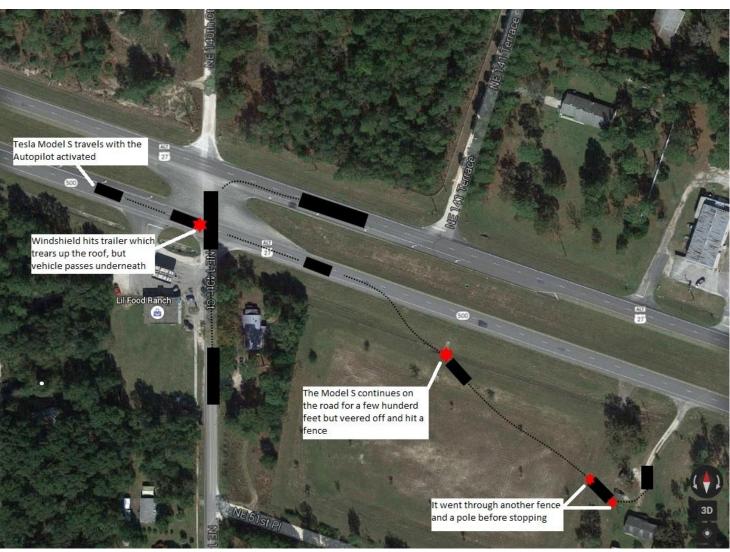






How much can we trust these "end-to-end" trained black-box algorithms?



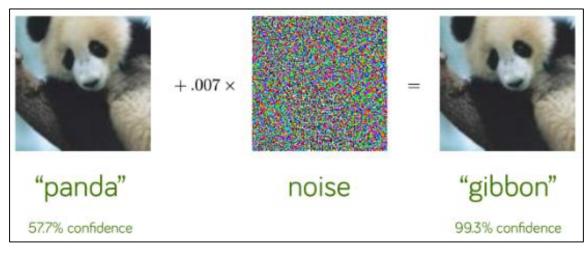


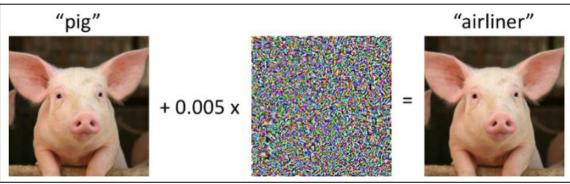
Biased data → biased models!

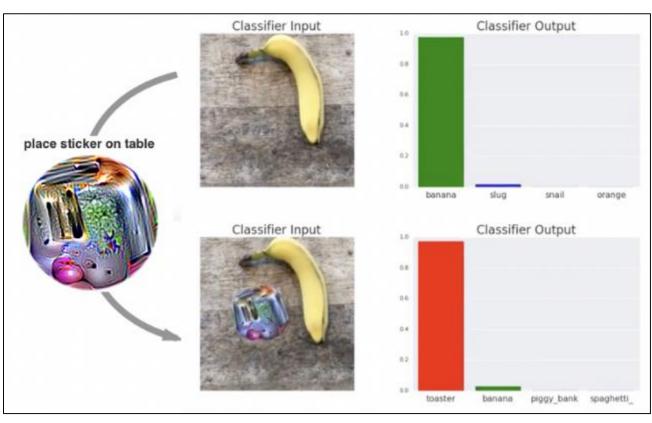




Adversarial examples

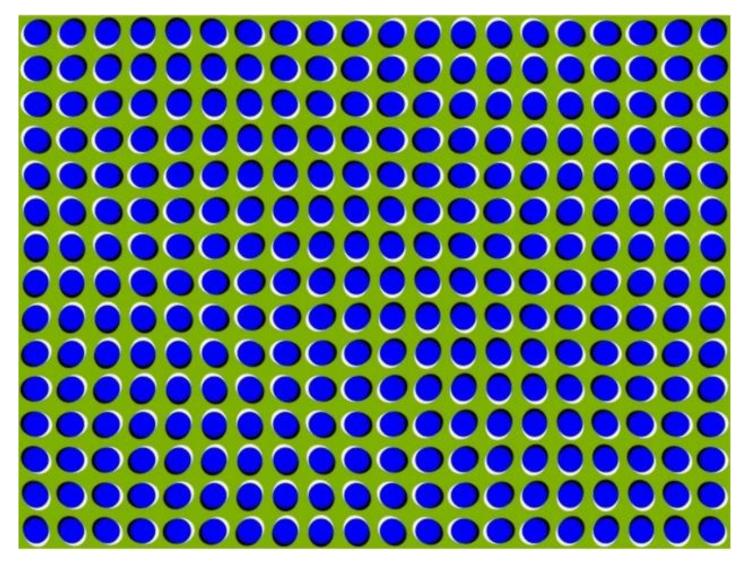




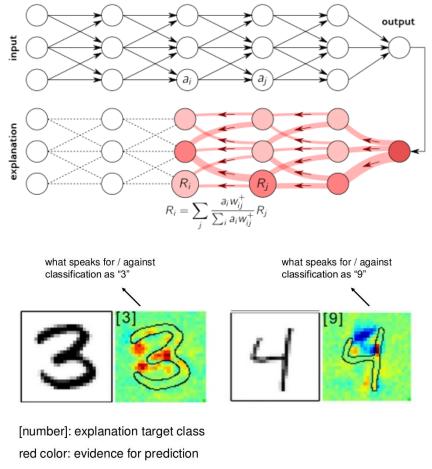


# Adversarial examples

Like optical illusions for CNNs...



Visualising what activates the CNN's neurones: Layer-wise Relevance Propagation (LRP)



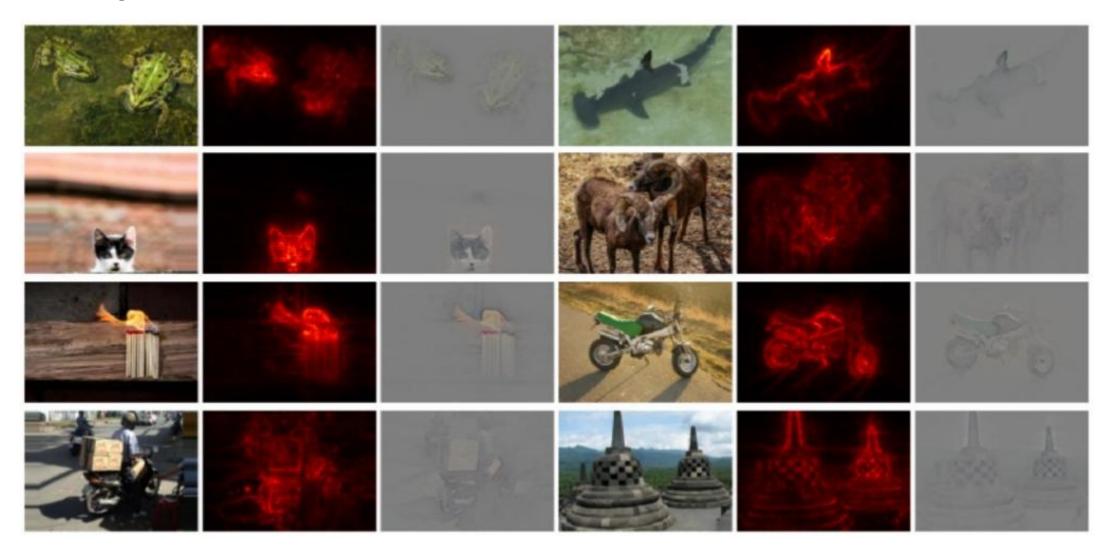
blue color: evidence against prediction



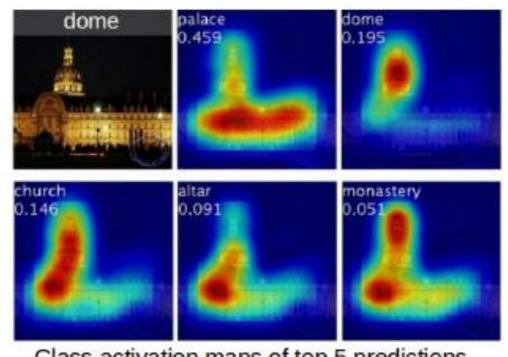
(Binder et al., ICML Visualization Workshop, 2016)

GoogleNet focuses on faces of animals → suppresses background noise, but doesn't use context

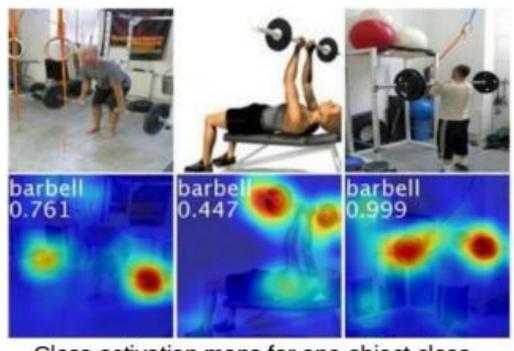
Visualising what activates the CNN's neurones:



Another method: Class Activation Mapping (CAM) and numerous derivatives



Class activation maps of top 5 predictions



Class activation maps for one object class

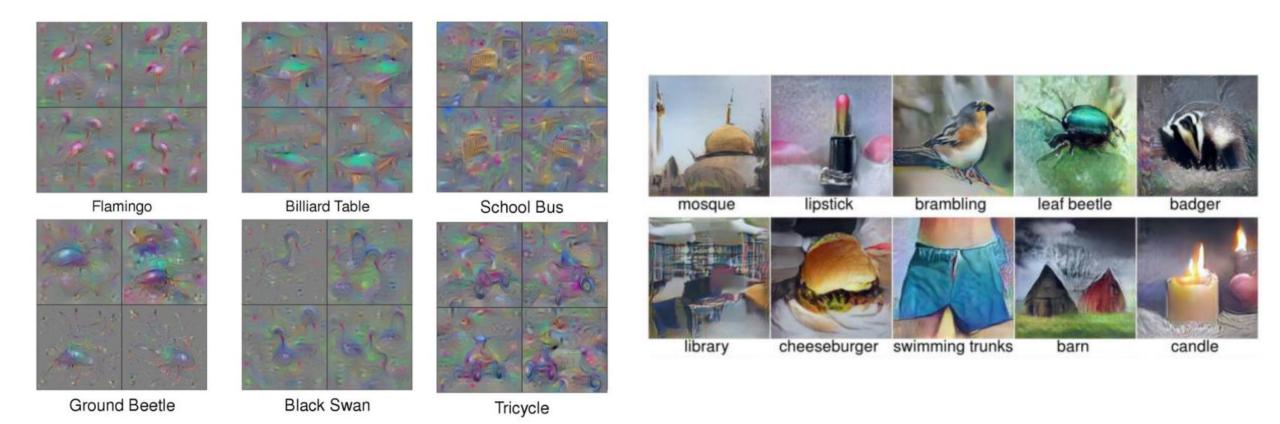
Requires the use of Global Average Pooling (GAP)

Not as general as LRP

#### Visualising RNN neurones' activations: Activation of one neuron: blue $\rightarrow$ weak (-1), green $\rightarrow$ strong (+1) Prediction: 5 most likely options for Tvdryz cou the next character v a o d a e t hAeovel e o o y & & m C o e r o n e o m I c C : a f Dr usu] I

T C o m m g d 1

Ideal images that maximally activate a given CNN neurone for different classes:



# The next (foreseeable) big developments in Al...

Explainable neural networks







- Physics inspired neural networks
- Hybrid data- and knowledge-driven models